


FORM LM-3 LABOR ORGANIZATION ANNUAL REPORT

Form Approved
Office of Management and Budget
No. 1215-0188
Expires: 11-30-2002

FOR USE BY LABOR ORGANIZATIONS WITH LESS THAN \$200,000 IN TOTAL ANNUAL RECEIPTS

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

For Official Use Only 		1. FILE NUMBER 504-352	2. PERIOD COVERED MO DAY YEAR From 01 01 2001 Through 12 31 2001	3. (a) AMENDED — If this is an amended report correcting a previously filed report, check here: (b) TERMINAL — If your organization ceased to exist and this is its terminal report, see Section XII of the instructions and check here: (c) SUBSIDIARY — If this is a report for a subsidiary organization of your union as defined in Section X of the instructions, check here:
FLAVIUS DUTTON (3) 504-352 GOVERNMENT EMPLOYEES AFGE AFL-CIO 430 LU 3434NASA BLDG 4200 RM728 MSFC, AL 35812 12/2001				8. MAILING ADDRESS (Type or print in capital letters.) First Name FLAVIUS Last Name DUTTON P.O. Box • Building and Room Number (if any) BLDG 4200 ROOM 728 Number and Street City MSFC State ZIP Code + 4 AL 35812-
4. AFFILIATION OR ORGANIZATION NAME AFGE/American Federation of Gov Emp				
5. DESIGNATION (Local, Lodge, etc.) Local		6. DESIGNATION NUMBER 3434		
7. UNIT NAME (if any)				
9. Are your organization's records kept at its mailing address? (If "No," provide address in Item 56.) Yes No				
56. ADDITIONAL INFORMATION (If more space is needed, attach additional pages properly identified.)				
Item Number	Savings Account 29,298.93 Regions Bank P.O. Box 680 Huntsville AL 35804			
Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VI on penalties in the instructions.)				
57. SIGNED: Clive L. Sams 4 1 4 1 0 2 (256) 544-0374 Date Telephone Number		PRESIDENT (If other title, see instructions.)		58. SIGNED: Flavius Dutton 4 1 4 1 0 2 (256) 544-1236 Date Telephone Number
		TREASURER (If other title, see instructions.)		

During the Reporting Period Did Your Organization:

- | | Yes | No |
|--|-----|----|
| 10. Have a "subsidiary organization" as defined in Section X of the instructions? | | X |
| 11. Create or participate in the administration of a trust or other fund or organization, as defined in the instructions, which provides benefits for members or their beneficiaries? | | X |
| 12. Have a political action committee (PAC) fund? | | X |
| 13. Acquire or dispose of any goods or property in any manner other than by purchase or sale? | | X |
| 14. Have an audit or review of its books and records by an outside accountant or by a parent body auditor/representative? | | X |
| 15. Discover any loss or shortage of funds or other property?
(Answer "Yes" even if there has been repayment or recovery.) | | X |
| 16. Have any officer who was paid \$10,000 or more by your organization and also received \$10,000 or more as an officer or employee of another labor organization or of an employee benefit plan? | | X |
| 17. Pay any employee salary, allowances, and other expenses which, together with any payments from affiliates, totaled more than \$10,000? | | X |
| 18. Have loans totaling more than \$250 to any officer, employee, or member, or make any loans to a business enterprise? | | X |

(If the answer to any of the above questions is "Yes," provide details in Item 56 on page 1 as explained in the instructions for each item.)

19. How many members did your organization have at the end of the reporting period? 63

20. What is the maximum amount recoverable under your organization's fidelity bond for a loss caused by any officer or employee of your organization? \$ 50 000. 00

21. During the reporting period, did your organization have any changes in its constitution and bylaws (other than rates of dues and fees) or in practices/procedures listed in the instructions? Yes No
(If the constitution and bylaws have changed, attach two new dated copies. If practices/procedures have changed, see the instructions.)

22. What is the date of your organization's next regular election of officers? MO YEAR

23. What are your organization's rates of dues and fees?
(Enter a minimum and maximum if more than one rate applies for any line.)

Rates of Dues and Fees	
(a) Regular Dues/Fees	\$ 10.50 per Bi-Weekly (Month, Year, etc.)
(b) Initiation Fees	\$ _____
(c) Transfer Fees	\$ _____
(d) Work Permits	\$ _____ per _____ (Month, Year, etc.)

24. ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Enter Amounts in Dollars Only — Do Not Enter Cents

FILE NUMBER: 504-352

(A) Name <small>(List all persons who held office during the reporting period even if they received no salary or other disbursements. Use all capital letters.)</small>		Gross Salary (before taxes and other deductions) (D)	Allowances and Other Disbursements (E)	Total (F)
(B) Title <small>(Enter title of officer, such as PRESIDENT or TREASURER.)</small>	Status (C)*			
1. Last Name: SAMS First Name: ALICE Title: PRESIDENT Status:				
2. Last Name: CARPENTER First Name: LINDA Title: VICE PRESIDENT Status:				
3. Last Name: DUTTON First Name: FLAVIUS Title: TREASURER Status:				
4. Last Name: SHELTON First Name: VALERIE Title: SECRETARY Status:				
5. Last Name: HANSON First Name: EFRAM Title: SET AT ARMS Status:				
6. Last Name: HUFNAGLE First Name: DAVID Title: CHIEF STEWARD Status:				
7. Last Name: _____ First Name: _____ Title: _____ Status:				
8. Totals from additional pages (if any)				
9. Totals of Lines 1 through 8				
10. Less Deductions				
Enter the Total from Line 11 in Item 45 →		11. Net Disbursements		

*Code for Status (C): past officer — P; continuing officer — C; new officer during the reporting period — N.

(If any officer was not elected at a regular election in accordance with your organization's constitution and bylaws, explain in Item 56 on page 1.)

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STATEMENT A ASSETS AND LIABILITIES	ASSETS	Start of Reporting Period (A)	End of Reporting Period (B)	LIABILITIES	Start of Reporting Period (C)	End of Reporting Period (D)
	Item			Item		
	25. Cash	11 871	15537	32. Accounts Payable		
	26. Loans Receivable			33. Loans Payable		
	27. U.S. Treasury Securities			34. Mortgages Payable		
	28. Investments			35. Other Liabilities		
	29. Fixed Assets			36. TOTAL LIABILITIES		
	30. Other Assets			37. NET ASSETS (Item 31 less Item 36).....		
	31. TOTAL ASSETS.....	11 871	15537			

STATEMENT B RECEIPTS AND DISBURSEMENTS	CASH RECEIPTS	AMOUNT	CASH DISBURSEMENTS	AMOUNT
	Item		Item	
	38. Dues	18248	45. To Officers (from Item 24)	
	39. Per Capita Tax		46. To Employees (less deductions)	
	40. Fees, Fines, Assessments & Work Permits		47. Per Capita Tax	10545
	41. Interest & Dividends		48. Office & Administrative Expense	
	42. Sale of Investments & Fixed Assets		49. Professional Fees	
	43. Other Receipts	243	50. Benefits	
	44. TOTAL RECEIPTS	18491	51. Contributions, Gifts & Grants	
	<p>If total receipts reported in Item 44 are \$200,000 or more, your organization must file Form LM-2 instead of this form.</p>		52. Purchase of Investments & Fixed Assets	
53. Loans Made				
54. Other Disbursements			4302	
55. TOTAL DISBURSEMENTS			14847	